| As a % of total no. of Partly paid-up shares O O As a % of total no. of shares of the Company As a % of total No. of Outstanding convertible securities O O O O O O O O O O O O O |
|--|
| Partly paid-up shares shares of the Company O O O O O O O O O O O O O O O O O O |
| Partly paid-up shares shares of the Company O O O O O O O O O O O O O O O O O O |
| Partly paid-up shares shares of the Company O O O O O O O O O O O O O O O O O O |
| O O O O O O O O O O O O O O O O O O O |
| O O O O O O O O O O O O O O O O O O O |
| As a % of total No. of shares of the company, assuming full conversion of the securities |
| As a % of total No. of shares of the company, assuming full conversion of the securities |
| Outstanding convertible conversion of the securities |
| |
| 0 0 |
| 0 |
| As a % of total No. of shares of the company assuming full conversion of the convertible securities |
| |
| 0 |
| 0 0 |
| 0 0 |
| |

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For Asit C Mehta Financial Services Ltd

Director

7.7.2014



| | | | | CDSL: 30/06/2014 | | NSDL: 30/06/2014 | GENERATED ON: 05/07/2014 | GE S |
|---------------------------------|--------------------------|-------------------|----------------|------------------|---|------------------|--|---------|
| | | | | | | | | |
| • | | | | | | 466600 | Total: | |
| | 0 | 0 | | | 1.01 | 50000 | PRIVATE LIMITED | თ |
| | | | | | 1.21 | 60000 | JASWANT JAYANTILAL SHAH | 4 |
| | 0 | | | | | 73293 | JASH KETAN KARANI | ဒ |
| | 0 | | | | | 126385 | Krishnamurthy - Trustees) | |
| | 0 | 0_ | - - | | | | (Thro Mr. Tushar Kapadia and Dr R | ļ |
| | | | | | | | NUCLEUS STOCK TRUST | N |
| | | | | | 3.17 | 156922 | KETAN JAYANTILAL KARANI | -] |
| | | | | | | | | |
| CAPITAL | | | | | | | | |
| DILUTED SHARE | | | | | | | | |
| AS A % OF | | | | | | | | |
| SECURITIES) | | | | | | | | |
| AND CONVERTIBLE | | | | | | | | |
| OF WARRANTS | | | | | | | | |
| | THE SAME OF SO | | CLASS | | PARA (I)(a) ABOVE } | | | |
| THE PANE OF ASSISTED CONVERSION | THE CAME OF ASSI | • | } | | STATEMENT AT | | | |
| SECURITIES OF SHARES ASSUMING | SECURITIES OF S | HELD | THE SAME | | (A)+(B)+(C) | | | |
| ONDEXELLING | OF CONVERTIBLE | SECURITIES | WARRANTS OF | HELD | GRAND TOTAL | | | Ç |
| | | | | | OFSHARES (i.e. | HELD | | Ś |
| (INCLUDING | NVERTIBLE TOTAL NUMBER | CONVERTIBLE | NUMBER OF | WARRANTS | TOTAL NUMBER | SHARES | - | Ų X |
| | | | | | PERCENTAGE OF | NOMBER OF | NAME(S) OF THE SHAREHOLDER | 3 |
| TOTAL SHARES | %₩.R.T | NUMBER OF | AS A % TOTAL | NI WRED OF | CUBLIC AND HOLDING MORE INVITATION OF THE CONTROL NUMBER OF | C AND HOLD | 1904. | |
| | | OF SHARES | OTAL NUMBER | RES, WARRA | S (INCLUDING SHA | FSECURITIES | (I)(C)(I) STATEMENT SHOWING HOLDING OF SECURITIES (INCLUDING SHARES, WARRAN) S, CONVERTIBLE SECONTIES (INCLUDING SHARES) S, CONVERTIBLE S, CONTIES (INCLUDING SHARES) S, CONTIES (INCLUDING SHARES) S, CONVERTIBLE S, CONTIES (INCLUDING SHARES) S, CONTIES (INCLUD | ()(0 |
| BELONGING TO | OF PERSONS | IDI C CECI IDITIE | LIIIII CONVEDT | CIEI Services | ASIT C Menta Financial Services Lilling | | | |
| | | | - in idea | | · ·· · · · · · · · · · · · · · · · · · | | | |

| | | Asit C Mehta F | inancial Servi | ces Limited | | | | |
|---|---------------------------------------|--|------------------------|---|---|----------------------------------|-------------------------------|--|
| Category code | Category of Shareholder | Number of | Total number of Shares | Number of Shares held in dematerialized form | Total Share a percenta numb shar | ge of total er of | | pledged(or encumbered) |
| | (II) | (111) | ([√) | 8 | As a percentage of (A+B) (VI) | As a percentage of (A+B+C) (VII) | Number of shares (VIII) | As a percentage (DX) = (VIII)/(IV)*100 |
| (1) | (11) | \ | | | | | | |
| (A) | PROMOTER AND PROMOTER GROUP | | | | | | <u> </u> | |
| [1] | INDIAN | | | | | | | |
| 1.1 | INDIVIDUALS / HINDU UNDIVIDED | 8 | 3,283,416 | 3,283,416 | 66.30 | 68.30 | 0 | 0,00 |
| | FAMILY | <u> </u> | 3,203,410 | 0,200,410 | <u> </u> | | | |
| | CENTRAL GOVERNMENT / STATE | ا ا | , | J o | 0.00 | 0.00 | | 0.00 |
| | GOVERNMENT(S) | | 414,370 | 414,370 | 8.37 | 8.37 | | 0.00 |
| | BODIES CORPORATE | | | - C | | | | 0.00 |
| | FINANCIAL INSTITUTIONS / BANKS | | | | 0.00 | | | 0.00 |
| | ANY OTHER (SPECIFY) | 10 | 3,697,786 | 3,697,786 | 74.66 | 74.60 | 0 | 0.00 |
| | Sub Total | | 3,00.7 | | | I | | |
| [2] | FOREIGN | + | | | | 1 | | |
| | INDIVIDUALS (NON-RESIDENT | 1 | } | 1 | 1 | | | 0.00 |
| | INDIVIDUALS / FOREIGN | l | | VI | 0.00 | | <u> </u> | |
| <u> </u> | INDIVIDUALS) | 1 | | | 0.00 | | | <u> </u> |
| | BODIES CORPORATE | | | 0(| 0.0 | 0.0 | <u> </u> | 1 |
| | QUALIFIED FORE. INVESTOR- | | T | | | 0.0 | ا ا | 0.00 |
| | CORPORATE | | <u> </u> | <u> </u> | 0.00 0.00 | | | 1 |
| <u> </u> | QUALIFIED FORE INVESTOR-IND | | | | | | | 0.0 |
| | ANY OTHER (SPECIFY) | | J | <u> </u> | O 0.0 | | <u> </u> | 0.0 |
| | Sub Total | | · | <u> </u> | <u> </u> | <u> </u> | | 0.0 |
| | Total (A) | 1 | 3,697,786 | 3,697,786 | 74.0 | 1 1 | - | 1 |
| (B) | PUBLIC SHAREHOLDING | T | I | | | + | + | - |
| (B) | INSTITUTIONS | | | <u> </u> | 0.0 | 0.0 | o · | 0.0 |
| ш | MITTIAL FUNDS / UTI | | <u> </u> | 0 | | - | | 0.0 |
| | EMANCIAL INSTITUTIONS / BANKS | <u> </u> | 1 29 | 294 | 9.0 | <u> </u> | ` | |
| | CENTRAL GOVERNMENT / STATE | | 1 | | 0.0 | n a.c | o | 0.0 |
| | GOVERNMENT(S) | | <u>o</u> | 0 | 0 0.0 | | XX | 0 0.0 |
| | VENTURE CAPITAL FUNDS | | 9 | ol . | 0.0 | 0.0 | 20 | 0.0 |
| ļ — — — — — — — — — — — — — — — — — — — | INSURANCE COMPANIES | | 0 | 4 | 4 | | | 1 |
| | TOMAL BE/ESTO | | 0 | o | 0 0.0 | 0.0 | <u> </u> | 0.0 |
| L | FOREIGN INSTITUTIONAL INVESTOR | | | | 1 '' | | | 0.0 |
| 1 | | 1 | 0 | <u> </u> | 0 0.0 | 0.0 | ٧ | ~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~ |
| L | QUALIFIED FORE. INVESTOR- | | | | | | | a 0.0 |
| | | 1 | ol | <u> </u> | 0 0. | | 00 | 0 0 |
| | CORPORATE QUALIFIED FORE INVESTOR-IND | | 0 | 0 | 0 0. | | 91 | 0 0 |
| <u> </u> | Sub Total | | 1 25 | 4 2 | 94 0. | 01 0. | <u>.,</u> | <u> </u> |



| | Total (C) | 0 1183 | 4952560 | 4818878 | 100.00 | 100.00 | 0 | 0.0 |
|-------------|--|----------------|-------------|-----------|--------|--------|------------------|------|
| | Sub Total | 0 | 0 | <u>o</u> | 0.00 | 0.00 | - 0 | 0.0 |
| | SHARES HELD BY CUSTODIANS | 0 | | <u>0</u> | 0.00 | 0.00 | Ö | 0.0 |
| (C) | SHARES HELD BY CUSTODIANS AND AGAINST WHICH DEPOSITORY RECEIPTS HAVE BEEN ISSUED | | | | 0.00 | 0.00 | - | 0.0 |
| | Total (A)+(B) | | | | | | | |
| | Total (B) | 1,183 | 4.952,560 | 4,818,878 | 100.00 | 100.00 | | 0.4 |
| | Sub Total | 1,173 | 1,254,774 | 1,121,092 | 25.34 | 25.34 | 0 | 0.0 |
| | TRUSTS | 1,172 | 1,254,480 | 1,120,798 | 25.33 | 25.33 | 0 | 0.0 |
| | OVERSEAS BODIES CORPORATES | - 3 | | | 0.00 | 0.00 | | O.: |
| | FOREIGN COMPANIES | 0 | 0 | 0 | 0.00 | 0.00 | | 0. |
| | REPAT) | | | - | 0.00 | 0.00 | | 0.0 |
| | NON RESIDENT INDIANS (NON | 2 | 700 | 700 | 0.01 | 0.01 | | 0.0 |
| | NON RESIDENT INDIANS (REPAT) | 2 | 1,005 | 1,005 | 0.02 | U.UZ | | |
| | OFFICE BEARERS | 0 | 0 | 0 | 0.00 | 0.02 | - d | 0.0 |
| | MARKET MAKER | 0 | 0 | 0 | 0.00 | 0.00 | - 0 | 0.0 |
| | CLEARING MEMBER | 7 | 4,218 | 4,218 | 0.09 | 0.00 | - d - | 0.0 |
| | CORPORATE QUALIFIED FORE INVESTOR-IND | 0 | 0 | O | 0.00 | 0.00 | - 1 - | 0.0 |
| | IN EXCESS OF RS. 1 LAKH QUALIFIED FORE, INVESTOR- | 0 | 0 | 0 | 0.00 | 0.00 | <u> </u> | 0.0 |
| | INDIVIDUAL SHAREHOLDERS HOLDING NOMINAL SHARE CAPITAL | 13 | 604,816 | 604,816 | 12.21 | 12.21 | <u> </u> | 0.0 |
| | INDIVIDUAL SHAREHOLDERS HOLDING NOMINAL SHARE CAPITAL UPTO RS. 1 LAKH. | 1110 | 490,148 | 363,966 | 9.90 | 9.90 | - 0 | 0.0 |
| | BODIES CORPORATE | | 100,000 | | | | | |
| | NON-INSTITUTIONS | 38 | 153,593 | 146.093 | 3.10 | 3.10 | 0 | 0.00 |





| | (I)(D) STATE | MENT SHOW | | OF SECURITI | ES (INCLUDII | SECURITIES (INCLUDING SHARES, WA | RRANTS,CON | ROUP' | OUP AS 8 % | (ES) OF | " |
|----------|----------------------------|-----------|------------------|----------------------|----------------------|----------------------------------|--------------|----------|------------|-------------|-------------|
| ? | NAME OF THE SHAREHOLDER | NO.05 | TOTAL SHARES | ENCUMBERED SHARES | ENCUMBERED SHARES | ENCUMBERED SHARES | | Number | total 3 | Number of | |
| <u> </u> | | ! | > - R | 5 | AS A | GRAND | | warrants | number of | convertible | _ |
| 충 | | HELD | GRAND TOTAL | | PERCENTAGE | TOTAL | | E | the same | held | convertible |
| | | | (A)+(B)+(C) | | | (A)+(B)+(C) OF | | (T) | | } | |
| | | | | 3 | | CLAUSE(I)(a) | | | Cias | 8 | securities |
| | | | | | (VI)=(V)/(III)*10 | | | | 8 | | |
| } |) | | <u>3</u> | | 0 | 3 | | | 3 | | |
| Ξ | 3 |) | , | | | | PAN | | | | same class |
| | | | | | | 0 | AAAPM8388F | 0 | | | 9 |
| 1 | ASIT CHIMANLAL MEHTA | 2,284,130 | | | | 0 | | 0 | 0 | | f |
| 2 | DEENA ASIT MEHTA | 900,300 | 70.10 | | | | - | | | | ۰. |
| | ASIT C. MEHTA COMMODITY | 400 470 | 8.09 | . | | 0 | | | | | 임 |
| ယ | SERVICES LIMITED | 4,004 | | | 2 | 0 | O AACHA7235D | ٥ | c | | ۲ |
| 4 | ASIT CHIMANLAL MEHTA HUF | //,000 | 1.00 | | 1 | | | | | | |
| | ASIT C MEHTA FOREX PRIVATE | 3 | | | • | 0 | AAACU0778J | | | | 49 |
| 5 | LTD | 13,800 | | | | 0 | 0 AKRPM6427C | | | | 뱍 |
| ക | ADITYA ASIT MEHTA | 7,40 | | | 4 | | O AACHJ7844N | 0 | | | 19 |
| 7 | JAYESH T DESAI HUF | 7,120 | 0.14 | | C | | ╗ | | | | |
| | | 3 | | | <u>o</u> | <u>o_</u> | O AEAPD9234P | | | 0 | 20 |
| œ | THAKORBHAI NANOBHAI DESA | 1 900 | 0.04 | | 2 | | 0 ADZPD1051E | ٥ | | ١ | 4 |
| G | GOVA JAYESH DESAI | - | | , | ? | 2 | O AAHPM2549M | | | 0 | 0 |
| 5 | RUPA ATUL SHAH | 1,500 | | | | - | 7 | | | | L |
| 1 | Total : | 3,697,786 | 6 74.66 | 6 | - | - | | | | | ١ |
| <u> </u> | GENERATED ON: 05/07/2014 | NSDL | NSDL: 30/06/2014 | CDSL: 30/06/2014 | 6/2014 | | | | | | Ì |
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| | | | | | | | | | | | |



GENERATED ON: 05/07/2014

NSDL: 30/06/2014 CDSL: 30/06/2014

| | | | (I)(c)(III |
|--------|---|---|--|
| Total: | | | (N(c)(II) STATEMENT SHOWING HOLDING OF SECURITIES (INCLUDING SHARES,WARRANTS,CONVERTIBLE SECURITIES) OF PERSONS (TOGETHER WITH PA THAN 9%, OF THE THAN 9%, OF THE THAN 9%, OF THE SHARES OF THE COMPANY NUMBER OF SHARES |
| 2 | 2 | | NUMBER OF SHARES |
| | | | ASK C MARKA Financial Services Limited RIG SHARES, WARRANTS, CONVERTIBLE SECURITIES) OF PERSONS (TOGETHE THAN 5%, OF THE TOTAL NUMBER OF SHARES OF THE COMPANY NUMBER OF TOTAL NUMBER OF SHARES (J.S. GRAND TOTAL (AV-(B)+(C) NDICATED IN STATEMENT AT PARA (IXIA) ABOVE } ASK TOTAL NUMBER OF SHARES (J.S. HELD THE SAME CLASS |
| | ٥ | | Ask C Natio Fhancial Services Limited convertible Securities) of Perse the TOTAL NUMBER OF SHARES OF I SHARES (J.S. SHA |
| | | | MA TOGETHER W THE COMPANY AS A % TOTAL NUMBER OF THE SAME CLASS |
| | | 0 | TH PAC) BELONGING NUMBER OF CONVERTIBLE SECURITIES HELD |
| | | 0 | NUMBER OF TOTAL SHARES NUMBER OF CONVERTIBLE SECURITIES SECURITIES OF THE SAME CLASS AND CONVERTIBLE SECURITIES OF THE SAME CLASS AND CONVERTIBLE SECURITIES OF THE SAME CLASS AND CONVERTIBLE SECURITIES SECURITIES AND CONVERTIBLE SECURITIES SECURITIES AND CONVERTIBLE SECURITIES SECURITIES SECURITIES CAPITAL |
| | | | TOTAL SHARES (INCLLIDING UNDERLYING UNDERLYING UNDERLYING FULL CONVERSION OF WARRANT'S AND CONVERTIBLE SECURITIES) AS A % OF DILLTED SHARE CAPITAL |
| | _ | | |

| GENERA | | No. |
|--------------------------|---------|--|
| GENERATED ON: 05/07/2014 | Total : | NAME OF SHAREHOLDER |
| NSDL: 30/06/2014 CD | | Asit C Menta Financial Services Limited (d) STATEMENT SHOWING DETAILS OF LOCKED-IN NUMBER OF LOCKED-IN SHARES NUMBER OF LOCKED-IN (%)PERCEN NUMBER OF LOCKED-IN (%)PERCEN NUMBER OF LOCKED-IN (%)PERCEN NUMBER OF LOCKED-IN NUMBE |
| CDSL: 30/06/2014 | | Asit C Mehta Financial Services Limited (d) STATEMENT SHOWING DETAILS OF LOCKED - IN SHARES NUMBER OF LOCKED-IN SHARES NUMBER OF SHARES {i.e., GRAND TOTAL (A)+(B)+(C) INDICATED IN STATEMENT AT PARA (I)(a) ABOVE } |
| | | Promotor/Promotor Group/Public |







| NAME OF THE DR HOLDER OUTSTANDING DRS |
|--|
| TEMENT SHOWING DETAILS OF DEPOSITORY RECEIPTS(DRs) |
| |

GENERATED ON: 05/07/2014 g Ş NAME OF THE DR HOLDER Asit C Mehta Financial Services Limited

II (b) STATEMENT SHOWING HOLDING OF DEPOSITORY RECEIPTS (DRs), WHERE UNDERLYING SHARES ARE
IN EXCESS OF 1% OF THE TOTAL NUMBER OF SHARES UNDERLYING SHA Total: NSDL: 30/06/2014 OUTSTANDING DR(ADRs,GDRs, SDRs,etc.) CDSL: 30/06/2014 UNDERLYING SHARES SHARES UNDERLYING OUTSTANDING DRS AS A PERCENTAGE OF TOTAL NUMBER OF SHARES(I.e., GRAND TOTAL (A)+(B)+(C) INDICATED IN STATEMENT



For Asit C Mehta Financial Services Ltd