

I(a) Statement Shareholding Pattern			
Name of the Company : <b>Asit C Mehta Financial Services Limited</b>			
Script Code:		Class of Security: Equity	
AS ON <b>30.9.2014</b>			
Partly Paid-up shares	No. of Partly Paid-up shares	As a % of total no. of Partly paid-up shares	As a % of total no. of shares of the Company
Held by promoter/Promoter group	0	0	0
Held by public	0	0	0
Total	0	0	0
Outstanding convertible securities	No. of Outstanding securities	As a % of total No. of Outstanding convertible securities	As a % of total No. of shares of the company, assuming full conversion of the convertible securities
Held by promoter/Promoter group	0	0	0
Held by Public	0	0	0
Total	0	0	0
Warrants	No. of Warrants	As a % of total no. of warrants	As a % of total No. of shares of the company, assuming full conversion of the convertible securities
Held by promoter/Promoter group	0	0	0
Held by Public	0	0	0
Total	0	0	0
Total paid-up capital of the company, assuming full conversion of warrants and convertible securities			
	4952560		



Asit C Mehta Financial Services Limited					30.9.2014			
Category code	Category of Shareholder	Number of Shareholders	Total number of Shares	Number of Shares held in dematerialized form	Total Shareholding as a percentage of total number of shares		Shares pledged (or otherwise encumbered)	
(I)	(II)	(III)	(IV)	(V)	As a percentage of (A+B) (VI)	As a percentage of (A+B+C) (VII)	Number of shares (VIII)	As a percentage (IX) = (VIII)/(V)*100
(A)	PROMOTER AND PROMOTER GROUP							
[1]	INDIAN							
	INDIVIDUALS / HINDU UNDIVIDED FAMILY	7	3279416	3279416	66.22	66.22	0	0
	CENTRAL GOVERNMENT / STATE GOVERNMENT(S)	0	0	0	0	0	0	0
	BODIES CORPORATE	2	414370	414370	8.37	8.37	0	0
	FINANCIAL INSTITUTIONS / BANKS	0	0	0	0	0	0	0
	ANY OTHER (SPECIFY)	0	0	0	0	0	0	0
	Sub Total	9	3693786	3693786	74.58	74.58	0	0
[2]	FOREIGN							
	INDIVIDUALS (NON-RESIDENT INDIVIDUALS / FOREIGN INDIVIDUALS)	0	0	0	0	0	0	0
	BODIES CORPORATE	0	0	0	0	0	0	0
	INSTITUTIONS	0	0	0	0	0	0	0
	QUALIFIED FORE INVESTOR-IND	0	0	0	0	0	0	0
	ANY OTHER (SPECIFY)	0	0	0	0	0	0	0
	Sub Total	0	0	0	0	0	0	0
	Total (A)	9	3693786	3693786	74.58	74.58	0	0
(B)	PUBLIC SHAREHOLDING							
[1]	INSTITUTIONS							
	MUTUAL FUNDS / UTI	0	0	0	0	0	0	0
	FINANCIAL INSTITUTIONS / BANKS	1	294	294	0.01	0.01	0	0
	CENTRAL GOVERNMENT / STATE GOVERNMENT(S)	0	0	0	0	0	0	0
	VENTURE CAPITAL FUNDS	0	0	0	0	0	0	0
	INSURANCE COMPANIES	0	0	0	0	0	0	0
	FOREIGN INSTITUTIONAL INVESTORS	0	0	0	0	0	0	0
	FOREIGN VENTURE CAPITAL INVESTORS	0	0	0	0	0	0	0
	QUALIFIED FORE INVESTOR-IND	0	0	0	0	0	0	0
	Sub Total	1	294	294	0.01	0.01	0	0
[2]	NON-INSTITUTIONS							
	BODIES CORPORATE	34	150879	143379	3.05	3.05	0	0
	INDIVIDUAL SHAREHOLDERS HOLDING NOMINAL SHARE CAPITAL UPTO RS. 1 LAKH.	1093	479970	353788	9.69	9.69	0	0
	INDIVIDUAL SHAREHOLDERS HOLDING NOMINAL SHARE CAPITAL IN EXCESS OF RS. 1 LAKH	16	622477	622477	12.57	12.57	0	0
	QUALIFIED FORE INVESTOR-CORPORATE	0	0	0	0	0	0	0
	QUALIFIED FORE INVESTOR-IND	0	0	0	0	0	0	0
	CLEARING MEMBER	5	3449	3449	0.07	0.07	0	0
	MARKET MAKER	0	0	0	0	0	0	0
	OFFICE BEARERS	0	0	0	0	0	0	0
	NON RESIDENT INDIANS (REPAT)	2	1005	1005	0.02	0.02	0	0
	NON RESIDENT INDIANS (NON REPAT)	2	700	700	0.01	0.01	0	0
	FOREIGN COMPANIES	0	0	0	0	0	0	0
	TRUSTS	0	0	0	0	0	0	0
	Sub Total	1152	1258480	1124798	25.41	25.41	0	0
	Total (B)	1153	1258774	1125092	25.42	25.42	0	0
	Total (A)+(B)	1162	4952560	4818878	100.00	100.00	0	0
(C)	SHARES HELD BY CUSTODIANS AND AGAINST WHICH DEPOSITORY RECEIPTS HAVE BEEN ISSUED							
	SHARES HELD BY CUSTODIANS	0	0	0	0.00	0.00	0	0.00
	Sub Total	0	0	0	0.00	0.00	0	0.00
	Total (C)	0	0	0	0.00	0.00	0	0.00
	Total (A)+(B)+(C)	1162	4952560	4818878	100.00	100.00	0	0.00

GENERATED ON : 05/10/2014

NSDL : 30/09/2014

CDSL : 30/09/2014



**Asit C Mehta Financial Services Limited**

**(I)(b) STATEMENT SHOWING HOLDING OF SECURITIES (INCLUDING SHARES, WARRANTS, CONVERTIBLE SECURITIES) OF PERSONS BELONGING TO THE CATEGORY 'PROMOTER AND PROMOTER GROUP'**

Sr. No.	NAME OF THE SHAREHOLDER	NO. OF SHARES HELD	TOTAL SHARES HELD AS a % of GRAND TOTAL (A)+(B)+(C)	ENCUMBERED SHARES No.	ENCUMBERED SHARES AS A PERCENTAGE (V)=(V)/(III)*100	ENCUMBERED SHARES AS a % OF GRAND TOTAL (A)+(B)+(C) OF CLAUSE(I)(a)	PAN	Number of warrants held (VIII)	As a % total number of warrants of the same class (IX)	Number of convertible securities held (X)	As a % total number of convertible securities of the same class (XI)	Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital (XII)
1	ASIT CHIMANLAL MEHTA	2284138	46.12	0	0	0	AAAPM8389F	0	0	0	0	
2	DEENA ASIT MEHTA	900358	18.18	0	0	0	AABPM8583L	0	0	0	0	
3	ASIT C. MEHTA COMMODITY SERVICES LIMITED	400470	8.09	0	0	0	AAACB2315A	0	0	0	0	
4	ASIT CHIMANLAL MEHTA HUF	77000	1.55	0	0	0	AACHA7335D	0	0	0	0	
5	ASIT C. MEHTA FOREX PRIVATE LTD	13900	0.28	0	0	0	AAACU0778L	0	0	0	0	
6	ADITYA ASIT MEHTA	7400	0.15	0	0	0	AKRPM6427C	0	0	0	0	
7	JAYESH T DESAI HUF	7120	0.14	0	0	0	AACHJ7844N	0	0	0	0	
8	GOPA JAYESH DESAI	1900	0.04	0	0	0	ADZPD1051E	0	0	0	0	
9	RUPA ATUL SHAH	1500	0.03	0	0	0	AAHPM2549M	0	0	0	0	
	<b>Total :</b>	<b>3693786</b>	<b>74.58</b>	<b>0</b>	<b>0</b>	<b>0</b>		<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	

GENERATED ON : 05/10/2014 NSDL : 30/09/2014 CDSEL : 30/09/2014



**Asit C Mehta Financial Services Limited**

(I)(C)(D) STATEMENT SHOWING HOLDING OF SECURITIES (INCLUDING SHARES, WARRANTS, CONVERTIBLE SECURITIES) OF 'PUBLIC' AND HOLDING MORE THAN 1% OF THE TOTAL NUMBER OF SHARES								
SR. NO.	NAME(S) OF THE SHAREHOLDER	NUMBER OF SHARES HELD	PERCENTAGE OF TOTAL NUMBER OF SHARES AS INDICATED IN STATEMENT AT PARA (I)(a) ABOVE }	NUMBER OF WARRANTS HELD	AS A % OF TOTAL WARRANTS OF THE SAME CLASS	NUMBER OF CONVERTIBLE SECURITIES HELD	% W.R.T TOTAL CONVERTIBLE SECURITIES OF THE SAME CLASS	TOTAL SHARES (INCLUDING UNDERLYING SHARES ASSUMING FULL CONVERSION OF WARRANTS AND CONVERTIBLE SECURITIES) AS A % OF DILUTED SHARE CAPITAL
1	KETAN J KARANI	144286	2.91	0	0	0	0	



NUCLEUS STOCK TRUST : Thro Trustees Tushar Kapadia and Dr R KrishnaMurthy		118985	2.40	0	0		
2	JASWANT JAYANTILAL SHAH	60000	1.21	0	0		
3	SUMAN MARBLE INDUSTRIES PRIVATE LIMITED	50000	1.01	0	0		
4	<b>Total :</b>	373271	7.54				

GENERATED ON : 05/10/2014

NSDL : 30/09/2014

CDSL : 30/09/2014



Asit C Mehta Financial Services Limited

(I)(c)(ii) STATEMENT SHOWING HOLDING OF SECURITIES (INCLUDING SHARES, WARRANTS, CONVERTIBLE SECURITIES) OF PERSONS (TOGETHER WITH PAC) BELONGING TO THE CATEGORY 'PUBLIC AND HOLDING MORE THAN 5% OF THE TOTAL NUMBER OF SHARES OF THE COMPANY'									
SR. NO.	NAME(S) OF THE SHAREHOLDER(S) AND THE PERSONS ACTING IN CONCERT(PAC) WITH THEM	NUMBER OF SHARES	TOTAL NUMBER OF SHARES (i.e. GRAND TOTAL (A)+(B)+(C) INDICATED IN STATEMENT AT PARA (I)(a) ABOVE )	PAN NUMBER	WARRANTS HELD	NUMBER OF WARRANTS OF THE SAME CLASS AS A % TOTAL	CONVERTIBLE SECURITIES HELD	% W. R. T TOTAL NUMBER OF CONVERTIBLE SECURITIES OF THE SAME CLASS	TOTAL SHARES (INCLUDING UNDERLYING SHARES ASSUMING FULL CONVERSION OF WARRANTS AND CONVERTIBLE SECURITIES) AS A % OF DILUTED SHARE CAPITAL
Total :		0	0						

GENERATED ON : 05/10/2014

NSDL : 30/09/2014

CDSL : 30/09/2014



**Asit C Mehta Financial Services Limited**

**I (d) STATEMENT SHOWING DETAILS OF LOCKED-IN SHARES**

Sr. No.	NAME OF SHAREHOLDER	NUMBER OF LOCKED-IN SHARES	LOCKED-IN SHARES AS A (%) PERCENTAGE OF TOTAL NUMBER OF SHARES (i.e., GRAND TOTAL (A)+(B)+(C) INDICATED IN STATEMENT AT PARA (I)(a) ABOVE }	Promotor/Promotor Group/Public
<b>Total :</b>		0	0	

GENERATED ON : 05/10/2014      NSDL : 30/09/2014      CDSL : 30/09/2014



**Asit C Mehta Financial Services Limited**

**II (a) STATEMENT SHOWING DETAILS OF DEPOSITORY RECEIPTS(DRS)**

Sr.No.	NAME OF THE DR HOLDER	NUMBER OF SHARES UNDERLYING OUTSTANDING DRs	SHARES UNDERLYING OUTSTANDING DRs AS A PERCENTAGE OF TOTAL NUMBER OF SHARES f.e., GRAND TOTAL (A)+(B)+(C) INDICATED IN STATEMENT AT PARA(I)(a)ABOVE }
<b>Total :</b>		0	0

GENERATED ON : 05/10/2014

NSDL : 30/09/2014

CDSL : 30/09/2014





**Asit C Mehta Financial Services Limited**

**II (b) STATEMENT SHOWING HOLDING OF DEPOSITORY RECEIPTS (DRs), WHERE UNDERLYING SHARES ARE  
IN EXCESS OF 1% OF THE TOTAL NUMBER OF SHARES**

Sr. No.	NAME OF THE DR HOLDER	TYPE OF OUTSTANDING DR(ADRs,GDRs, SDRs,etc.)	NUMBER OF SHARES UNDERLYING	SHARES UNDERLYING OUTSTANDING DRs AS A PERCENTAGE OF TOTAL NUMBER OF SHARES(i.e. GRAND TOTAL (A)+(B)+(C) INDICATED IN STATEMENT
<b>Total :</b>			0	0

GENERATED ON : 05/10/2014      NSDL : 30/09/2014      CDSL : 30/09/2014

For Asit C. Mehta Financial Services Ltd.  
  
 Director